

**UNIVERSITY OF MASSACHUSETTS BUILDING AUTHORITY**



**THE NEW EDUCATION AND RESEARCH BUILDING  
UMBA Project 19-W1  
UMASS MEDICAL SCHOOL, WORCESTER, MA**

---

**REQUEST FOR QUALIFICATIONS  
FOR  
ELEVATORS AND EARLY WATERPROOFING SCOPE  
TRADE CONTRACTORS**

**Construction Manager at Risk – MGL c.149A; Section 8**

**For the following Trades:**

Waterproofing, Dampproofing and Caulking; Elevators

**Submission Deadline: May 12, 2021, at 2:00PM EST**

**Submit Electronic Statement of Qualifications to [DROPBOX LINK](#)  
Provided. Link will be provided to interested Trade Contractors after the Trade  
Contractor RFQ Interest Form (Trade RFQ Form 1) is submitted by email.**

**University of Massachusetts Building Authority  
c/o Terva | Trident a Joint Venture, LLC  
Attn: Vamshidhar Thakkallapalli**

**Date Issued: April 28, 2021**



## **TABLE OF CONTENTS**

### **Part One: Request for Qualifications to Trade Contractors**

<b>Section I:</b>	General Information
<b>Section II:</b>	Detailed Project Description
<b>Section III:</b>	General Instructions
<b>Section IV:</b>	Overview of Prequalification Process
<b>Section V:</b>	Administration/Schedule for Prequalification Process
<b>Section VI:</b>	Evaluation Procedure/Criteria for Prequalification Selection
<b>Section VII:</b>	Additional Information

### **Part Two: Trade Contractor SOQ Application Forms/Schedules** *(to be completed by Trade Contractor)*

<b>Trade SOQ Form 1:</b>	RFQ Interest Form
<b>Trade SOQ Form 2:</b>	RFQ Response Checklist
<b>Trade SOQ Form 3:</b>	SOQ Application Form
<b>Schedule A:</b>	Business Owner Information
<b>Schedule B:</b>	Management Personnel Information
<b>Schedule C:</b>	Similar Project Experience
<b>Schedule D:</b>	Terminations
<b>Schedule E:</b>	Legal Proceedings
<b>Schedule F:</b>	Safety Record
<b>Schedule G:</b>	Project References
<b>Schedule H:</b>	Credit References
<b>Schedule I:</b>	Public Project References
<b>Schedule J:</b>	Prior Revenue
<b>Schedule K:</b>	Revenue under Contract
<b>Schedule L:</b>	Bonding Letter
<b>Schedule M:</b>	Sub-bidder Certificate of Eligibility and Sub-bidder Update Statement



## Part One: Request for Qualifications to Trade Contractors

### Section I: General Information

#### A. Introduction

This Request for Qualifications (“RFQ”) is the first phase of a two-phase procurement process as set forth in MGL Chapter 149A. The University of Massachusetts Building Authority (UMBA) through its Trade Contractor Prequalification Committee is prequalifying firms interested in providing public Trade Contractor services on this Public Construction Manager at Risk (“CM” or “CM at Risk”) project through this RFQ process. UMBA will evaluate submitted Statements of Qualifications (“SOQ”) based upon the identified evaluation criteria and points set forth in Section VI below and only those firms obtaining the required minimum points will be deemed prequalified. Only those respondents deemed prequalified will be invited to submit a bid in response to a Request for Bids (“RFB”) which will be issued in the second phase of the procurement process. The project delivery method for construction will be public CM at Risk with a Guaranteed Maximum Price (“GMP”) under M.G.L. Chapter 149A.

#### B. General Project Information

<b>Awarding Authority:</b>	University of Massachusetts Building Authority (UMBA)
<b>UMBA Project Number:</b>	19-W1
<b>Project Name:</b>	The New Education and Research Building
<b>Project Location:</b>	55 Lake Avenue North Worcester, Massachusetts 01655
<b>Project Description:</b>	New Education and Research Building for the UMass Medical School is a new 330,000 gross square foot nine-story new research building providing much needed space for medical research. This RFQ is for the elevator package and early foundation related waterproofing scope.
<b>RFQ Informational Meeting (if applicable):</b>	Not Applicable
<b>Optional Site Visit (if applicable):</b>	Not Applicable
<b>RFQ Questions:</b>	May 5, 2021, at 12:00pm
<b>Response to Questions (if applicable):</b>	May 7, 2021, at 5:00pm
<b>Submission Deadline: (for submission of SOQs in response to this RFQ)</b>	May 12, 2021, at 2:00pm



<b>Submission via DROPBOX Link:</b> Link will be provided to interested Trade Contractors after the Trade Contractor RFQ Interest Form (Trade RFQ Form 1) is submitted by email.	University of Massachusetts Building Authority c/o Terva   Trident a Joint Venture, LLC Attn: Vamshidhar Thakkallapalli
<b>Estimated Total Overall Project Construction Cost:</b>	\$250,000,000
<b>Estimated Total Project Duration:</b>	36 months
<b>CM at Risk Firm:</b>	Shawmut Design and Construction
<b>Project Architect:</b>	ARC / ZGF
<b>Owner’s Project Manager:</b>	Terva   Trident a Joint Venture, LLC

**C. Trade Contractors to be Prequalified**

This *RFQ* seeks firms interested in providing Trade Contractor services on the Project in the trades identified in the table below. Interested Trade Contractors in the categories identified in the table below are invited to submit a Trade Contractor Statement of Qualifications (SOQ) to the Awarding Authority. Trade Contractors in the various trades will be prequalified either on a trade by trade basis, in phases, in groups of trades, or all at once depending on the project needs and phasing.

Trade Contractors seeking prequalification for this project **MUST** submit a commitment letter stating its ability to obtain bonding for 110% of the estimated trade contract value for the particular Trade Contract from a surety company licensed to do business in the Commonwealth or appearing on the U.S. Treasury Department Circulate 570. The selected Trade Contractors on this CM at Risk project will then be required to provide payment and performance bonds for the full value of their subcontracts and Trade Contractors must include the cost of these bonds in their bids.

“X” Below All Trades Subject To Prequalification On The Project	Section #	Trade Category	Estimated Construction Cost for Trade
<input type="checkbox"/>	040001	Masonry	N/A
<input type="checkbox"/>	050001	Miscellaneous and Ornamental Iron	N/A
<input checked="" type="checkbox"/>	070001	Waterproofing, Dampproofing, Caulking	\$1,007,000
<input type="checkbox"/>	070002	Roofing and Flashing	N/A
<input type="checkbox"/>	080002	Glass and Glazing	N/A
<input type="checkbox"/>	090002	Tile	N/A
<input type="checkbox"/>	090003	Acoustical Tile	N/A



University of Massachusetts Building Authority  
UMass Medical School – The New Education and Research Building (NERB)  
RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

<input type="checkbox"/>	090005	Resilient Floors	N/A
<input type="checkbox"/>	090006	Terrazzo	N/A
<input type="checkbox"/>	090007	Painting	N/A
<input checked="" type="checkbox"/>	140001	Elevators	\$3,222,025
<input type="checkbox"/>	210001	Fire Protection	N/A
<input type="checkbox"/>	220001	Plumbing	N/A
<input type="checkbox"/>	230001	Heating, Ventilation and Air-Conditioning	N/A
<input type="checkbox"/>	260001	Electrical	N/A



University of Massachusetts Building Authority  
UMass Medical School – The New Education and Research Building (NERB)  
RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

## **Section II: Detailed Project Description**

Shawmut Design and Construction has been selected as the Construction Manager at Risk for the New Education and Research Building Project. The work will include the following scope:

The UMass Medical School plans to construct a new 350,000 gross square foot nine story biomedical research and medical education facility on the Worcester Campus to support the School's strategic plan in regard to biomedical wet lab research growth increases.

The biomedical research portion of the program includes space for wet lab research to support 70-80 biological safety level 2 principal researcher space to include wet labs, benches, write-up space, hood/cabinet alcove, linear equipment rooms, environmental rooms, procedure space, office and conference rooms. The basement level program will include a vivarium and behavioral suite.

The Project will be subject to the minimum wage rates set under the Massachusetts Prevailing Wage Laws.

Drawings, specifications and other documents will not be available to respondents during the *RFQ Phase*.

**Pursuant to M.G.L. c. 30, §39S(a)(2) all employees to be employed on the Project must have successfully completed a course in construction safety and health approved by OSHA and of at least 10 hours in duration. All Trade Contractors on the Project will also be required to provide written certification of compliance with applicable workforce related laws, including, Executive Order 481, Federal Department of Homeland Security Requirements, and laws relating to worker classification, workers' compensation, and applicable laws related to taxes and insurance.**



### **Section III: General Instructions**

In response to this *RFQ*, interested Trade Contractors are requested to submit a *Trade Contractor RFQ Interest Form* (attached hereto) and **required** to submit a *Statement of Qualifications (SOQ) Application Package* as follows:

#### **A. Contents of *Statement of Qualifications (SOQ) Application Package***

The required *SOQ Application Package* consists of the following\*:

1. *Trade Contractor Statement of Qualifications Form (Trade RFQ Form 3)*
2. *Schedules A through M of Trade RFQ Form 3; and*
3. All supporting documentation referenced and required therein

\**Trade RFQ Form 2 - Trade Contractor RFQ Response Checklist* is also included for the assistance of respondent Trade Contractors.

#### **B. Submission Deadline:** See *Section I: General Information*

**One (1)** complete ELECTRONIC COPY of the interested Trade Contractor's *SOQ Application Package* must be received by the Awarding Authority on or before the Submission Deadline as set forth in *Section I*, no later than the time indicated.

**THE COMPLETED ELECTRONIC DOCUMENT SHALL BE UPLOADED TO THE DROPBOX LINK PRIOR TO SUBMISSION DEADLINE. LINK WILL BE PROVIDED TO INTERESTED TRADE CONTRACTORS AFTER THE TRADE CONTRACTOR RFQ INTEREST FORM (TRADE RFQ FORM 1) IS SUBMITTED BY EMAIL.**

*SOQ Application Packages received later than the Submission Deadline specified in Section I will be rejected and returned to the respondent Trade Contractor. Respondent Trade Contractors are cautioned to allow sufficient time for UPLOADING DOCUMENTS TO DROPBOX. Faxed or e-mailed qualifications will not be accepted. **The Awarding Authority shall not be responsible for SOQ Application Packages not received by the date and time set forth in Section I.***



**C. Required information to be included in the electronic *SOQ Application Package* title**

SOQs will not be read publicly and shall be submitted ELECTRONICALLY.

All Electronic files to be titled as follows:

**19-W1 Company name - Trade package for which submission is made**

*Respondents must submit a separate SOQ Application Package for each Trade for which they wish to be qualified. Applications for multiple trades within a single package will not be accepted and will not be reviewed.*

**D. Questions and Responses**

All questions regarding this RFQ should be submitted to Vamshidhar Thakkallapalli at Terva | Trident a Joint Venture, LLC by 12:00 PM local time on Wednesday, May 5, 2021. Questions should be submitted by email to Vamshidhar Thakkallapalli at [vthakkallapalli@tervacorp.com](mailto:vthakkallapalli@tervacorp.com). Do not submit questions by phone. All responses will be posted on <http://umassba.net> by 5:00 PM on Friday, May 7, 2021.

**E. RFQ Informational Meeting and/or Optional Site Visit (if applicable)**

Not Applicable.

**F. Review/Availability Of Contract Documents**

Drawings, specifications and other documents will not be available to respondent Trade Contractors during the *RFQ Phase*.

**G. Additional Instructions**

See *Section VII: Additional Information* for additional instructions regarding the prequalification process.





**Section IV: Overview Of Prequalification Process**

This *Request for Qualifications (RFQ)* is issued pursuant to M.G.L. c. 149A, §8. Firms interested in providing Trade Contractor Services for the construction of the Project described in *Section I and Section II* (“the Project”) **MUST** submit a *Statement of Qualifications (SOQ)* in response to this *RFQ* to the Awarding Authority as instructed in *Section III and Section VIII*, herein.

The Trade Contractor Selection Process for this CM at Risk Project is a two-phase process as set forth in M.G.L. c. 149A, §8. The project delivery method for construction will be under M.G.L. c. 149A. The Awarding Authority is prequalifying firms interested in providing Trade Contractor services for the Project through this *RFQ* prequalification process.

\*\*\*\*\* **IMPORTANT NOTICES** \*\*\*\*\*

Participation in the Request for Bids *RFB/Bidding Phase* of this Project will be limited to **ONLY** those Trade Contractor firms who have submitted a **SOQ** (and required supporting documentation) in response to this *RFQ* and that have been deemed prequalified by the Awarding Authority.

**Trade Contractors that fail to respond to this RFQ and fail to submit an SOQ with the required supporting documentation by the Submission Deadline set forth in Section I, and Trade Contractors that have not been deemed prequalified by the Awarding Authority shall be automatically disqualified from bidding on this Project.**

\*\*\*\*\* **IMPORTANT NOTICES** \*\*\*\*\*

**Section V: Administration/Schedule For Prequalification Process**

**A. “Two-Phase” Selection Process** - Selection of Trade Contractors for the Project will be conducted in a two-phase process as set forth in M.G.L. c. 149A, §8. Trade Contractor firms must first be prequalified in the *Phase One – RFQ/Prequalification Phase* in order to bid on the Project in *Phase Two – RFB/Bidding Phase*.

**1. Phase One – RFQ/Prequalification Phase**

- **Submission Of Statement Of Qualifications (SOQ)** - Interested Trade Contractor firms must submit a completed SOQ; completed *Schedules A through M*, and all required supporting documentation referenced therein in response to this *RFQ* by the *Submission Deadline* set forth in *Section I*.
- **Prequalification Committee** –The Awarding Authority will appoint a *Prequalification Committee* to review and evaluate the SOQs (and



supporting documentation) submitted by interested Trade Contractors. The *Prequalification Committee* shall consist of a representative from the Project Designer; a representative from the Construction Manager at Risk firm; a representative from the Owner’s Project Manager; a representative from the UMass Medical School and a representative from the Awarding Authority.

- **Evaluation By Prequalification Committee** - The *Prequalification Committee* shall meet as necessary to evaluate and review the SOQs (and supporting documentation) submitted by each respondent Trade Contractor firm in accordance with the evaluation criteria set forth in *Section VI*.
- **Notice To Respondent Trade Contractors** – The names of the Trade Contractor firms responding to the *RFQ* will be posted in a Register of Responders but there will be no public opening of the SOQs submitted in response to the *RFQ*. Upon completion of the evaluation and review process described herein, the *Prequalification Committee* will provide written notice to all respondent Trade Contractors as to whether they are deemed prequalified or not. Prequalified Trade Contractor firms shall also be invited to participate in *Phase Two*, the *RFB/Bidding Phase* of the Trade Contractor Selection Process.

**2. Phase Two – Request for Bid (RFB)/Bidding Phase**

- Trade Contractor firms determined in *Phase One* by the *Prequalification Committee* to be prequalified will be invited to bid on the Project. **Only firms deemed prequalified during Phase One – RFQ/Prequalification Phase will be permitted to participate in the Phase Two - RFB/Bidding Phase. Firms that are not prequalified by the Prequalification Committee and firms that do not participate in the RFQ Phase will be precluded from participating in Phase Two - the RFB Bidding/Phase.**

**B. Anticipated Schedule for Prequalification/Bidding**

<b>Deadline for Submission of Statements of Qualifications</b>	<b>May 12, 2021, at 2:00pm</b>
<b>Anticipated Completion of Prequalification Evaluations:</b>	<b>May 15, 2021</b>
<b>Anticipated RFB available to Prequalified Bidders:</b>	<b>May 20, 2021</b>
<b>Anticipated Notice To Proceed:</b>	<b>July/August 2021</b>



<b>Construction Schedule:</b>	<b>November 2021 – February 2024</b>
-------------------------------	--

**Section VI: Evaluation Procedure/Criteria For Prequalification Selection**

**A. Sources of Information Considered**

Respondent Trade Contractors must submit documentation for the four (4) statutory evaluation criteria categories listed herein. Prequalification will be based on the submitted information and materials as well as information on prior project performance, information obtained from references, information obtained from governmental agencies and entities, information contained within DCAMM's certification files, and such other information as may be obtained relating to the evaluation criteria categories. The *Prequalification Committee* may also request and review additional information as necessary to clarify or supplement the information provided to or obtained by the Awarding Authority.

Do not include superfluous material. Respondent Trade Contractor must include the *Trade Contractor Statement of Qualifications Form, Trade RFQ Form 3 and Schedules A through M* attached hereto. Respondent Trade Contractor must give complete and accurate answers to all questions and provide all of the information requested. Making a materially false statement in this SOQ submission is grounds for rejection and debarment.

**B. Evaluation Procedure**

As set forth herein and in accordance with M.G.L. c. 149A, §8, the Awarding Authority has established a *Prequalification Committee* for the purpose of reviewing and evaluating responses to this RFQ. The *Prequalification Committee* shall evaluate interested Trade Contractors based on the evaluation criteria set forth herein and assign points for each evaluation criterion category and subcategory provided herein. The *Prequalification Committee* shall prepare a written evaluation score form for each respondent Trade Contractor that provides a composite point rating and a specific point rating for each of the evaluation criterion set forth in M.G.L. c. 149A and herein. The *Prequalification Committee* shall only prequalify those Trade Contractor firms that have achieved the minimum points required in each category set forth herein and a minimum total score of seventy (70) points.

**Only Trade Contractor firms achieving the minimum score required in each evaluation category set forth herein, as well as a minimum total score of seventy (70) points overall shall be prequalified and invited to submit bids consistent with M.G.L. c. 149A, §8(a)-(f). An interested Trade Contractor's score shall be made available to the Trade Contractor upon request but is not a public record.**



The decision of the *Prequalification Committee* shall be final and shall not be subject to appeal except on grounds of fraud or collusion.

### C. Criteria for Prequalification

SOQs must be submitted on the *Trade RFQ Form 3* attached hereto. Interested Trade Contractors submitting a SOQ and supporting information in any other form will not be prequalified. The *Prequalification Committee* shall review and evaluate the information submitted by interested Trade Contractors in accordance with the statutory point scheme set forth in M.G.L. c. 149A, §8(e). Also in accordance with §8(e), the *RFQ* shall set forth the available points for each evaluation sub-category in order to provide interested Trade Contractors prior notice of the points available in each sub-category. Joint ventures must provide information about each of the joint venture partners.

#### 1. **Management Experience - (50 points available in this category; minimum of 25 points required in this category for prequalification approval)**

a. **Business Owners:** Provide the name, title, including a detailed description of the role and job responsibilities, scope of work and numbers of years with the firm for each of the business owner(s) of the firm. If the respondent Trade Contractor is a partnership, **YOU MUST** provide the requested information for each general and limited partner. If the respondent Trade Contractor is a corporation or limited liability company, **YOU MUST** provide the requested information for each officer, director and/or member. (3 points available)

1. At least one (1) principal of the Trade Contractor has five (5) or more consecutive years of employment with the Trade Contractor – three (3) points.
2. At least one (1) principal of the Trade Contractor has four (4) or more but less than five (5) consecutive years of employment with the Trade Contractor – two (2) points.
3. At least one (1) principal of the Trade Contractor has three (3) or more but less than four (4) consecutive years of employment with the Trade Contractor – one (1) point.
4. No response or incomplete response – zero (0) points

b. **Management Personnel:** Provide the name, title, including a detailed description of the role and job responsibilities, scope of work, education, construction experience, years with the firm and a



list of all projects completed for all management personnel who will have any direct or indirect responsibility over the Project, including but not limited to Project Executives, Project Managers, Field Superintendents and Field Engineers. (3 points available)

1. At least one (1) management person of the Trade Contractor who will work on the (“the Project”) has five (5) or more consecutive years of employment as a management person with the Trade Contractor – three (3) points.
2. At least one management person of the Trade Contractor who will work on this Project has four (4) or more consecutive years of employment as a management person with the Trade Contractor – two (2) points.
3. At least one (1) management person of the Trade Contractor who will work on this Project has three (3) or more consecutive years of employment as a management person with the Trade Contractor or other Trade Contractor in public building construction – one (1) point.
4. No response or incomplete response – zero (0) points.

**c. Similar Project Experience:** Provide the project name(s), description, scope of work, original contract sum, final contract sum with explanation, and date of completion for each and every similar project undertaken by the firm in the last (3) three years. For purposes of this *RFQ*, “similar projects” shall mean public construction projects in Massachusetts. (14 points available)

1. The Trade Contractor has successfully completed its work on construction of at least five (5) similar projects within the past three (3) years with a trade contract value similar to the estimated trade contract value for the project on which the Trade Contractor is attempting to qualify – fourteen (14) points.
2. The Trade Contractor has successfully completed its work on construction of at least four (4) similar projects within the past three (3) years with a trade contract value similar to the estimated trade contract value for the project on which the Trade Contractor is attempting to qualify – ten (10) points.
3. The Trade Contractor has successfully completed its work on construction of at least three (3) similar projects within the past three (3) years with a trade contract value similar to the estimated trade contract value for the project on which the Trade Contractor is attempting to qualify – six (6) points.



4. The Trade Contractor has successfully completed its work on construction of at least two (2) similar projects within the past three (3) years with a trade contract value similar to the estimated trade contract value for the project on which the Trade Contractor is attempting to qualify – two (2) points.
  5. No similar project experience within the past three (3) years; No response or incomplete response – zero (0) points.
- d. **Terminations:** Provide a list of any projects on which the firm was the Trade Contractor and was terminated, held in default, or failed to complete the work. Include the name of the project, the timeframe of the project and circumstances surrounding the termination or default. *(10 points available)*
1. The Trade Contractor has not been terminated on any project within ten (10) years and has not failed to complete the work on any project within ten (10) years provided that termination on a project or failure to complete a project, which in either event, is for reasons beyond the control of the Trade Contractor shall be disregarded – ten (10) points.
  2. Within the past ten (10) years, the Trade Contractor has been terminated on a project or has failed to complete the work on a project, must provide documentation/explanation – maximum three (3) points.
  3. Within the past five (5) years, the Trade Contractor has been terminated on a project or failed to complete a project - zero (0) points
  4. No response or incomplete response – zero (0) points.
- e. **Lawsuits:** Provide a list of all lawsuits in which the Trade Contractor is a defendant or defendant-in-counterclaim with regard to construction contracts within the last 3 years. Please include among the lawsuits requested in the previous sentence, payment bond lawsuits under M.G.L. c.149, §29 and mechanics lien lawsuits. If the lawsuit was pending at any time during the last three (3) years (which includes any lawsuit that was commenced, dismissed, or resolved by settlement or judgment during that time), then it must be listed. Please note that the lawsuits listed shall not include actions that primarily involve personal injury, workers' compensation claims, or where the sole cause of action involves the Trade Contractor's exercise of its rights for direct payment under M.G.L. c.30, §39F. *(10 points available)*





1. No lawsuits are pending nor have been concluded adversely to the Trade Contractor within the past three (3) years which relate to the procurement or performance of any public construction contract or private construction contract – ten (10) points.
  2. No lawsuits are pending nor have been concluded adversely to the Trade Contractor within the past two (2) years which relate to the procurement or performance of any public construction contract or private construction contract – six (6) points
  3. No lawsuits are pending nor have been concluded adversely to the Trade Contractor within the past one (1) year which relate to the procurement or performance of any public construction contract or private construction contract – two (2) points
  4. One lawsuit is currently pending or has been concluded adversely to the Trade Contractor within the past one (1) year which relates to the procurement or performance of any public construction contract or private construction contract – one (1) point
  5. Multiple lawsuits are currently pending or have been concluded adversely to the Trade Contractor within the past one (1) year which relate to the procurement or performance of any public construction contract or private construction contract – zero (0) points
  6. Failure to disclose any pending or lawsuit required to be included in the Trade Contractor's response – zero (0) points
  7. No response or incomplete response – zero (0) points
- f. Safety Record:** Provide the three (3) year history of the Trade Contractor's workers' compensation experience modifier. In addition, provide documentation from the Trade Contractor's insurance carrier supporting the rating history provided. (10 points available)
1. Average value of the submitted history of the Trade Contractor's workers' compensation modifier is 1.0 or less – ten (10) points.
  2. Average value of the submitted history of the Trade Contractor's workers' compensation modifier is 1.0 to 1.1 – eight (8) points.
  3. Average value of the submitted history of the Trade Contractor's workers' compensation modifier is 1.1 to 1.2 – six (6) points.
  4. Average value of the submitted history of the Trade Contractor's workers' compensation modifier is 1.2 to 1.3 – four (4) points.
  5. Average value of the submitted history of the Trade Contractor's workers' compensation modifier is 1.3 to 1.35 – two (2) points.



6. Average value of the submitted history of the firm's Trade Contractor's workers' compensation record is greater than 1.35 – zero (0) points.
7. No response or incomplete response – zero (0) points.

**2. References - (30 points available in this category; minimum of 15 points required in this category for prequalification approval)**

**a. Project References:** Provide reference information for owners and architects for each and every project listed in your response to *Section VI(C)(1)(c)*. A minimum of three (3) references from different projects (of similar size, scope and type to this project) are required. Information provided shall at least include project name and the names of the owners and architects, with current address, current telephone, current fax numbers, current email address and current contact person for each. Note: The Prequalification Committee may also consider project reference information and project evaluations obtained from the Update Statement, DCAMM's certification files and information provided in response to *Subsection 2(c)* herein. *(18 points available)*

1. Three (3) points for each favorable reference, maximum of six (6) references – maximum eighteen (18) points
2. Zero (0) points for each unfavorable reference, maximum of six (6) references
3. The total score for this category will be the net sum of favorable and unfavorable reference points, with the minimum score being zero (0).
4. If a reference cannot be reached due to incorrect contact information then, that reference will be counted as zero (0) points.

**b. Credit References:** Provide a minimum of five (5) credit references, including current telephone and fax numbers of a contact person from key suppliers, vendors and banks. A credit reference letter from the bank is required by the SOQ deadline, it may be included with the SOQ or sent directly to Terva | Trident from the bank. Also reference *Section VII (D) of Part One* of the RFQ for this Project for Additional Information. *(4 points available)*

1. Five (5) or more favorable credit references at least one of which is a bank or a recognized lending institution – four (4) points.
2. Four (4) favorable credit references at least one of which is a bank or a recognized lending institution – three (3) points.





3. Three (3) favorable credit references at least one of which is a bank or a recognized lending institution – two (2) points.
4. Two (2) or less favorable credit references at least one of which is a bank or a recognized lending institution – one (1) point.
5. No favorable credit references – zero (0) points.
6. No response or incomplete response – zero (0) points (lack of a bank credit reference letter will be considered an incomplete response).

**c. Public Project Record:** Provide a list of all completed public building construction projects (as defined by M.G.L. c. 149, § 44A) worked on during the past five (5) years with the project name, scope of work, contract value, start date, completion date, status of the project, owner's name (including address, telephone number, fax number, and contact person) and architect's name (including address, telephone number, fax number and contact person). *(8 points available)*

1. Work successfully completed on five (5) or more public building projects during the past five (5) years – eight (8) points.
2. Work successfully completed on four (4) public building projects during the past five (5) years – six (6) points.
3. Work successfully completed on three (3) public building projects during the past five (5) years – four (4) points.
4. Work successfully completed on two (2) public building projects during the past five (5) years – two (2) points.
5. Work successfully completed on one (1) public building project during the past five (5) years – one (1) point.
6. Work successfully completed on no public building projects during the past five (5) years – zero (0) points.
7. No response or incomplete response – zero (0) points

**3. Capacity to Complete Projects - (20 points available in this category; minimum of 10 points required in this category for prequalification approval)**

**a. Prior Revenue:** Submit the prior annual revenue for the last three (3) fiscal years. *(10 points available)*

1. Average revenue is 150% or greater of estimated amount of trade category listed in this *RFQ* – ten (10) points.
2. Average revenue is between 150% and 125% of estimated amount of trade category listed in this *RFQ* – eight (8) points.



3. Average revenue is between 125% and 100% of estimated amount of trade category listed in this *RFQ* – six (6) points.
4. Average revenue is between 100% and 75% of estimated amount of trade category listed in this *RFQ* – four (4) points.
5. Average revenue is between 75% and 50 % of estimated amount of trade category listed in this *RFQ* – two (2) points.
6. Average revenue is less than 50% of estimated amount of trade category listed in this *RFQ* - zero (0) points
7. No response or incomplete response - zero (0) points

**b. Revenue under Contract:** Submit revenue under contract for the next three (3) years. (10 points available)

1. Revenue is 200% or greater of estimated amount of trade category listed in this *RFQ* – ten (10) points.
2. Revenue is between 200% and 150% of estimated amount of trade category listed in this *RFQ* – eight (8) points.
3. Revenue is between 150% and 100% of estimated amount of trade category listed in this *RFQ* – six (6) points.
4. Revenue is between 100% and 50% of estimated amount of trade category listed in this *RFQ* – four (4) points.
5. Revenue is less than 50% of estimated amount of trade category listed in this *RFQ* – two (2) points.
6. No response or incomplete response – zero (0) points.

**4. Mandatory Requirements - (no points assigned)**

**a. Bonding Capacity:** Interested Trade Contractors must provide a commitment letter (from a surety company licensed to do business in the Commonwealth of Massachusetts and whose name appears on the United States Treasury Department Circular 570) for payment and performance bonds in an amount equal to or greater than **one hundred and ten percent (110%)** of the estimated trade contract value for the sub-trade that the Trade Contractor is seeking prequalification as set forth in *Section I*.

**b. DCAMM Certification:** Respondent Trade Contractors **must be certified as a filed sub-bidder in the trade for which they seek to be prequalified** by DCAMM and pursuant to M.G.L. c. 149, §44D must submit a copy of the Respondent's currently valid DCAMM *Sub-bidder Certificate of Eligibility* with its *SOQ* (as set forth in the *General Information* section above). Note, however, that a joint venture team must be certified in specific trade(s) that it is seeking to be prequalified for this Project in the name of the joint venture and must



submit a *Sub-bidder Certificate of Eligibility* for the joint venture in each such Trade. If a respondent to the *RFQ* is a proposed joint venture that is newly formed or is not currently certified, then: (i) each party to the proposed joint venture must be individually certified by DCAMM and must submit a copy of its *DCAMM Sub-bidder Certificate of Eligibility* with the *Joint Venture SOQ*; and (ii) the joint venture respondent must state in the *SOQ* that it will seek certification from DCAMM as a joint venture in the sub-bid category of the Trade for which they submit the *SOQ*, and state that it understands and agrees that if the joint venture respondent is selected to participate in the *RFB Phase* it will be required to submit a *DCAMM Sub-bidder Certificate of Eligibility* for the joint venture in the name of the joint venture with its response to the *RFB*. For certification forms and additional information see the web site:

[http://www.mass.gov/cam/forms/fi\\_contractcert.html](http://www.mass.gov/cam/forms/fi_contractcert.html)

Or contact the Certification Office at:

DCAMM Contractor Certification Office  
One Ashburton Place  
Boston, Massachusetts 02108  
Telephone Number (617) 727-4050 ext. 415

- c. Update Statement:** Interested Trade Contractors must provide a current and completed *Sub-bidder Update Statement* prepared by the interested Trade Contractor utilizing the current form of *Update Statement* available on the DCAMM website. If a respondent to the *RFQ* is a proposed joint venture and the proposed joint venture is not yet certified, then: i) each party to the proposed joint venture must be certified by DCAMM and each must submit its own signed *Sub-bidder Update Statement* as part of its *SOQ*; ii) at least one of the parties to the joint venture must be certified by DCAMM in the Trade category of for which it is seeking prequalification. When the second phase of the selection process is undertaken through an *RFB*, a joint venture respondent that has been selected in the *RFQ* process will be required to submit its *Sub-bidder Certificate of Eligibility* for the joint venture meeting the above requirements with its proposal to the *RFB*.

For the required *Sub-bidder Update Statement Form* and additional information see the web site.

[http://www.mass.gov/cam/forms/fi\\_contractcert.html](http://www.mass.gov/cam/forms/fi_contractcert.html)

Or contact the Certification Office at:

DCAMM Contractor Certification Office  
One Ashburton Place  
Boston, Massachusetts 02108



Telephone Number (617) 727-4050 ext. 415

## **Section VII: Additional Information**

### **A. Status of Request for Qualifications**

This *RFQ* is solely a request for information. It does not represent an offer nor does it confer any rights on any respondent Trade Contractor. The Awarding Authority shall not be responsible under any circumstances for any costs incurred by any respondent Trade Contractors in responding to this *RFQ*. The Awarding Authority reserves the right to cancel this procurement at any time if it is in its best interest to do so.

### **B. Treatment of Information Submitted**

With the exception of the required *Update Statement* and financial information, the Awarding Authority shall have no obligation to treat any information submitted by an interested Trade Contractor in or in connection with a *SOQ* as proprietary or confidential unless the Awarding Authority determines that the information legitimately requires such treatment. In such case, the Awarding Authority's obligation with respect to protection and disclosure of such information shall at all times be subject to applicable laws. The Awarding Authority shall have the right to use all or portions of the *SOQ*, as it considers necessary or desirable in connection with the Project. By the submission of a *SOQ*, the respondent Trade Contractor thereby grants to the Awarding Authority an unrestricted license to use the *SOQ*, including all materials submitted therewith, in connection with the Project.

### **C. Communication Between Awarding Authority and Respondent Trade Contractors**

Unauthorized communications or contact between Trade Contractors, their employees, agents or other related entities interested in submitting a *SOQ* and Awarding Authority, the Project Designer, the Owner's Project Manager, the Construction Manager at Risk firm or any other person or entity participating on the Prequalification Committee with regard to the Project are strictly prohibited. The only authorized communications shall be 1) inquiries to the Owner's Project Manager for the Awarding Authority for general information about obtaining the *RFQ*, *RFQ* submission deadlines, and the existence of any relevant addenda to the *RFQ*; and 2) inquiries made at any official *RFQ* Informational meeting and/or site visit held by Awarding Authority. If applicable, the official *RFQ* Informational meeting will be held at the date(s) and time(s) set forth in Section I.

Any issues brought to the Awarding Authority's attention, which the Awarding



Authority determines will require additional clarification, will be addressed by issuing a written addendum. Oral and other clarifications will be without legal effect. All such addenda will be considered part of this RFQ, and the respondent Trade Contractor shall be required to acknowledge receipt of all addenda on the RFQ Proposal Response Form attached to this RFQ. The Awarding Authority will post to the website, <http://umassba.net>, all addenda which will be available to all respondent Trade Contractors that have downloaded or received the RFQ directly from the Awarding Authority. It shall be the sole responsibility of the respondent Trade Contractor to ascertain the existence of any and all addenda issued by the Awarding Authority.

From the date of issuance of this RFQ, any respondent Trade Contractor that contacts directly or indirectly any member or employee of the Awarding Authority, or the Project Designer, or the Project Manager, or the Construction Manager at Risk firm, or any member of the Prequalification Committee in connection with the selection process or the contract contemplated herein for this Project is subject to disqualification. Contact is permitted for the purpose of obtaining a copy of this RFQ or to submit a verbal or written question or request for clarification at the RFQ Informational meeting as set forth in Section I.

#### **D. Credit References**

In evaluating credit references (see Part Two, Section 2(b) of the Trade RFQ Form 3), significant weight is attached to the Trade Contractor having a bank reference. In order to expedite this process, please contact your bank reference and either include with the SOQ or have the bank forward directly to the address below (by mail) so that it is received by Terva | Trident a Joint Venture, LLC by the deadline for SOQ submittal, a letter indicating how long they have been associated with the Trade Contractor and whether they consider the Trade Contractor to be favorable or unfavorable. This information must be submitted on the bank's letterhead to:

Terva | Trident a Joint Venture, LLC  
Attn: Vamshidhar Thakkallapalli  
184 Nathaniel Drive, Whitinsville, MA 01588  
Email: [vthakkallapalli@tervacorp.com](mailto:vthakkallapalli@tervacorp.com)



University of Massachusetts Building Authority  
UMass Medical School – The New Education and Research Building (NERB)  
RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

**Part Two: Trade Contractor SOQ Application Forms/Schedules**  
*(to be completed by Trade Contractor)*



University of Massachusetts Building Authority  
 UMass Medical School – The New Education and Research Building (NERB)  
 RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

**TRADE CONTRACTOR RFQ Interest Form  
 (TRADE RFQ Form 1)  
 (For Submission to Awarding Authority Immediately)**

**Instructions: If respondent Trade Contractor firm is interested in responding to the RFQ for Prequalification of Trade Contractors for this Project then Trade RFQ Form 1 MUST be submitted to the Awarding Authority BEFORE submitting the SOQ Application Trade RFQ Form 3.**

<b>Awarding Authority:</b>	University of Massachusetts Building Authority (UMBA)
<b>UMBA Project No.:</b>	19-W1
<b>Project Name:</b>	The New Education and Research Building Project

Email this *RFQ Interest Form* to:

**Terva | Trident a Joint Venture, LLC**  
**Attn: Vamshidhar Thakkallapalli**  
**Email: vthakkallapalli@tervacorp.com**

By submitting this *Trade RFQ Interest Form* the below identified firm is expressing its interest in the above-referenced public building construction project and is requesting that it be added to the list of firms that will receive any addenda to the *RFQ* on the Project. The Awarding Authority ***assumes no responsibility for a firm's failure to receive any addenda or other correspondence related to this RFQ due to the firm's failure to submit an RFQ Interest Form as directed above or for any other reason.***

<b>Trade Contractor Category:</b>	
<b>Trade Contractor Firm Name:</b>	
<b>Trade Contractor Address:</b>	
<b>Trade Contractor Telephone:</b>	
<b>Trade Contractor Email:</b>	
<b>Trade Contractor Contact Person/Title:</b>	
<b>Date Submitted:</b>	

By: \_\_\_\_\_ Date: \_\_\_\_\_  
 (Signature of Authorized Representative)





## TRADE CONTRACTOR RFQ RESPONSE CHECKLIST (TRADE RFQ Form 2)

PLEASE NOTE THAT INCOMPLETE OR LATE APPLICATIONS FOR PREQUALIFICATION WILL NOT BE CONSIDERED. THEREFORE, BEFORE SUBMITTING A RESPONSE TO THIS RFQ, PLEASE REVIEW THE FOLLOWING:

- Did respondent Trade Contractor email the *Trade Contractor RFQ Interest Form (Trade RFQ Form 1)* to the Awarding Authority?
- Did respondent Trade Contractor receive the DROPBOX link for submission of the SOQ application package?
- Did respondent Trade Contractor complete the entire *SOQ Application (Trade RFQ Form 3)* and all required attachments and schedules?
- Did respondent Trade Contractor fully complete *Schedules A through M* and attach all required documentation?
- Did respondent Trade Contractor attach the resumes of owners and management personnel identified in respondent Trade Contractor's responses to *Schedule A* and *Schedule B*?
- Did respondent Trade Contractor attach the required documentation from respondent Trade Contractor's insurance company supporting the workers' compensation modifier history reported in *Schedule F*?
- Does respondent Trade Contractor have the current contact information for all of the references reported in *Schedule G, Schedule H, Schedule I*?
- Did respondent Trade Contractor attach a commitment letter for payment and performance bonds in the form required and for a value of 110% of the trade contract value as required in *Section 4(a) of Part Two, Trade RFQ Form 3*?
- Did respondent Trade Contractor attach a currently valid DCAMM *Sub-bidder Certificate of Eligibility* as required in *Section 4(b) of Part Two, Trade RFQ Form 3*? (required as of January 1, 2006)
- Did respondent Trade Contractor attach a completed and signed *Sub-bidder Update Statement* using the most recent form available on the DCAMM website as required in *Section 4(c) of Part Two, Trade RFQ Form 3*? (required as of January 1, 2006)
- Did respondent Trade Contractor title the electronic document correctly?
- Did respondent Trade Contractor review all of the execution requirements before signing the *SOQ Application Form*?
- Is the person who signed the *SOQ Application Form* authorized to do so and is his or her correct and current contact information provided?





University of Massachusetts Building Authority  
 UMass Medical School – The New Education and Research Building (NERB)  
 RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

**TRADE CONTRACTOR STATEMENT OF QUALIFICATIONS FORM  
 (TRADE RFQ FORM 3)**

**UNIVERSITY OF MASSACHUSETTS MEDICAL SCHOOL, WORCESTER  
 THE NEW EDUCATION AND RESEARCH BUILDING PROJECT**

**Note:** See *Sections III and VII of Part One of the RFQ*, for instructions on completing this *Statement of Qualifications* and accompanying *Schedules A through M*.

<b>Trade Contractor Category:</b>	
<b>Trade Contractor Name:</b>	
<b>Trade Contractor Mailing Address:</b>	
<b>Trade Contractor Street Address:</b>	
<b>Telephone Number:</b>	
<b>Email:</b>	
<b>Contact Person/Title:</b>	
<b>Trade Contractor Acknowledges Addenda Nos.:</b>	

**Note:** If Respondent Trade Contractor is “SDO (formerly SOMWBA) Certified” as a MBE/WBE/MWBE, please indicate which below and attach letter from the Supplier Diversity Office evidencing such certification.

MBE       WBE       M/WBE



\*\*\*\*\* **IMPORTANT NOTICE** \*\*\*\*\*

**Interested TRADE CONTRACTORS MUST indicate in the table below the trade for which it is seeking prequalification in this SOQ submission and MUST submit SEPARATE individual SOQ forms for each and every other trade that it is also seeking prequalification for this Project.**

\*\*\*\*\* **IMPORTANT NOTICE** \*\*\*\*\*

Mark "X" for Trade Seeking Prequalification	Section #	Trade Category
<input type="checkbox"/>	040001	Masonry
<input type="checkbox"/>	050001	Miscellaneous and Ornamental Iron
<input type="checkbox"/>	070001	Waterproofing, Dampproofing & Caulking
<input type="checkbox"/>	070002	Roofing and Flashing
<input type="checkbox"/>	080001	Metal Windows
<input type="checkbox"/>	080002	Glass and Glazing
<input type="checkbox"/>	090001	Lathing and Plastering
<input type="checkbox"/>	090002	Tile
<input type="checkbox"/>	090003	Acoustical Tile
<input type="checkbox"/>	090004	Marble
<input type="checkbox"/>	090005	Resilient Floors
<input type="checkbox"/>	090006	Terrazzo
<input type="checkbox"/>	090007	Painting
<input type="checkbox"/>	140001	Elevators
<input type="checkbox"/>	210001	Fire Protection
<input type="checkbox"/>	220001	Plumbing
<input type="checkbox"/>	230001	Heating, Ventilation and Air-Conditioning
<input type="checkbox"/>	260001	Electrical



1. **Management Experience - (50 points available; minimum of 25 points required for prequalification approval)**
  - a. **Business Owners:** Interested Trade Contractors **MUST COMPLETE Schedule A** and **MUST ATTACH** to it a resume for each and every business owner of respondent Trade Contractor firm as set forth in *Section VI(C)(1)(a)* of *Part One* of the *RFQ* for this Project.
  - b. **Management Personnel:** Interested Trade Contractors **MUST COMPLETE Schedule B** and **MUST ATTACH** to it an organizational chart AND a resume for each and every person who will have **any** management responsibility, direct or indirect, for the Project, including, but not limited to, Project Executives, Project Managers, Field Superintendents and Field Engineers, as set forth in *Section VI(C)(1)(b)* of *Part One* of the *RFQ* for this Project.
  - c. **Similar Project Experience:** Interested Trade Contractors **MUST COMPLETE Schedule C** and list similar projects for the last three (3) years. For each project, respondent Trade Contractor must include the name, description of project, description of respondent Trade Contractor's scope of work, original contract sum, final contract sum (with explanation) and date completed. For the purpose of this *RFQ*, "similar projects" shall be defined as defined in *Section VI(C)(1)(c)* of *Part One* of the *RFQ* for this Project. Be sure to include any Trade specific experience or criteria required.
  - d. **Terminations:** Interested Trade Contractors **MUST COMPLETE Schedule D** and list each and every project on which respondent Trade Contractor was terminated or failed to complete the work as set forth in *Section VI(C)(1)(d)* of *Part One* of the *RFQ* for this Project.
  - e. **Lawsuits:** Interested Trade Contractors **MUST COMPLETE Schedule E** and list of all lawsuits in which the Trade Contractor is a defendant or defendant-in-counterclaim with regard to construction contracts within the last three (3) years. Please include among the lawsuits requested in the previous sentence, payment bond lawsuits under M.G.L. c.149, §29 and mechanics lien lawsuits. If the lawsuit was pending at any time during the last three (3) years (which includes any lawsuit that was commenced, dismissed, or resolved by settlement or judgment during that time), then it must be listed. Please note that the lawsuits listed shall not include actions that primarily involve personal injury, workers' compensation claims, or where the sole cause of action involves the Trade Contractor's exercise of its rights for direct payment under M.G.L. c.30, §39F. Joint ventures must provide information regarding lawsuits for each joint venture partner as set forth in *Section VI(C)(1)(e)* of *Part One* of the *RFQ* for this Project.
  - f. **Safety Record:** Interested Trade Contractors **MUST COMPLETE Schedule F** and provide the three (3) year history of its workers'



compensation modifier rating as set forth in *Section VI(C)(1)(f) of Part One*, the *RFQ* for this Project, and **MUST ATTACH** to ***Schedule F*** documentation from its insurance carrier supporting the ratings reported therein or no points may be awarded.

2. **References** - (30 points available; minimum of 15 points required for prequalification approval)
  - a. **Project References:** Interested Trade Contractors **MUST COMPLETE Schedule G** and provide project references from Owners and Architects for all projects listed in *Schedule C* as required in *Section VI(C)(2)(a) of Part One* of the *RFQ* for this Project.
  - b. **Credit References:** Interested Trade Contractors **MUST COMPLETE Schedule H** and provide a minimum of five (5) credit references as required in *Section VI(C)(2)(b) of Part One* of the *RFQ* for this Project.
  - c. **Public Project Record:** Interested Trade Contractors **MUST COMPLETE Schedule I** and list all completed public building construction projects completed by respondent Trade Contractor during the past five (5) years as required in *Section VI(C)(2)(c) of Part One* of the *RFQ* for this Project.
3. **Capacity to Complete Project** - (20 points available; minimum of 10 points are required for prequalification approval)
  - a. **Prior Revenue:** Interested Trade Contractors **MUST COMPLETE Schedule J** and list prior revenue for the last three (3) fiscal years.
  - b. **Revenue Under Contract:** Interested Trade Contractors **MUST COMPLETE Schedule K** and list revenue under contract for the next three (3) fiscal years.
4. **Mandatory Requirements:** (no points are assigned)
  - a. **Payment and Performance Bonds:** Interested Trade Contractors **MUST ATTACH** to the *Trade RFQ Form 3* at ***Schedule L***, a commitment letter (from a surety company licensed to do business in the Commonwealth of Massachusetts and whose name appears on United States Treasury Department Circular 570) for payment and performance bonds in an amount equal to or greater than one hundred ten percent (110%) of the estimated trade contract value of this Project.
  - b. **Sub-bidder Certificate of Eligibility: (Required as of January 1, 2006)** Interested Trade Contractors **MUST ATTACH** to the *Trade RFQ Form 3* at ***Schedule M***, a currently valid *Sub-bidder Certificate of Eligibility* (issued by DCAMM) for each Trade in which it submits a SOQ.



University of Massachusetts Building Authority  
UMass Medical School – The New Education and Research Building (NERB)  
RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

- c. **Sub-bidder Update Statement: (Required as of January 1, 2006)** Interested Trade Contractors **MUST ATTACH** to the *Trade RFQ Form 3* at **Schedule M**, a current and completed *Sub-bidder Update Statement* for each Trade in which it submits an *RFQ* utilizing the current *Update Statement Form* available on DCAMM's website.

## 5. **Execution Requirements**

- a. **RFQ Response Checklist:** Before signing and submitting its *SOQ Application Package* for this Project, interested Trade Contractors are advised to carefully review the *RFQ Response Checklist – Trade RFQ Form 2*.
- b. **Incomplete or Inaccurate Information:** Failure to accurately and completely provide the information requested may result in disqualification.
- c. **Authorization to Sign:** This form **MUST** be signed by an officer of the firm or an individual so authorized by an officer of the firm who has personal knowledge regarding the information contained herein.
- d. **Debarment Status:** By signing below, the interested Trade Contractor certifies that it is not currently debarred from performing public work for the Commonwealth of Massachusetts or the Federal Government.

***[THIS SPACE IS INTENTIONALLY BLANK]***



**CERTIFICATION**

The undersigned declares that he or she has carefully examined all the documents contained in the *Request for Qualifications for Trade Contractors (RFQ)* solicitation for the Project, and certifies to the best of his/her knowledge, that this *Statement of Qualifications* fully complies with all of the requirements of the *RFQ* and all addenda and clarifications issued in regard to the *RFQ*.

The undersigned also hereby certifies that he or she (or, if he or she is the authorized representative of a company, the company) is the only person interested in this *Statement of Qualifications* and any subsequent proposal; that it is made without any connection with any other person making any submission for the same work; that no person acting for, or employed by, the Awarding Authority is directly or indirectly interested in this *Statement of Qualifications* or any subsequent proposal, or in any contract which may be made under it, or in expected profits to arise therefrom; that the undersigned Respondent has not influenced or attempted to influence any other person or corporation to file a *Statement of Qualifications* or subsequent proposal or to refrain from doing so or to influence the terms of the *Statement of Qualifications* or any subsequent proposal of any other person or corporation; and that this submission is made in good faith without collusion or connection with any other person applying for the same work.

The undersigned further certifies under pains and penalties of perjury that the undersigned is not debarred from doing public construction work in the Commonwealth of Massachusetts under the provisions of section twenty nine F of chapter twenty nine, or any other applicable debarment provision of any other chapter of the General Laws or any rule or regulation promulgated there under, and further is not debarred from doing public construction work under any law, rule or regulation of the federal government.

The undersigned states that he or she has carefully examined all of the information provided and representations made in this *Statement of Qualifications* and the documents submitted with the SOQ including all schedules, forms and materials, and certifies to the best of his/her knowledge, that this *Statement of Qualifications* in its entirety is complete, true and accurate.

By signing below, the interested Trade Contractor **acknowledges receipt of the following addenda** to this *RFQ*:

Addenda Nos. (if any): \_\_\_\_\_

**SIGNED UNDER THE PAINS AND PENALTIES OF PERJURY:**

Signature: \_\_\_\_\_

*(Signature of Authorized Representative)*

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

Firm Name: \_\_\_\_\_

Date: \_\_\_\_\_

Project Number: \_\_\_\_\_

Project Name: \_\_\_\_\_













University of Massachusetts Building Authority  
 UMass Medical School – The New Education and Research Building (NERB)  
 RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

**Firm Name:** \_\_\_\_\_

**SCHEDULE E – Lawsuits:** Interested Trade Contractors are **required** to list of all lawsuits in which the trade contractor is a defendant or defendant-in-counterclaim with regard to construction contracts within the last three (3) years. Please include among the lawsuits requested in the previous sentence, payment bond lawsuits under M.G.L. c.149, §29 and mechanics lien lawsuits. If the lawsuit was pending at any time during the last three (3) years (which includes any lawsuit that was commenced, dismissed, or resolved by settlement or judgment during that time), then it must be listed. Please note that the lawsuits listed shall not include actions that primarily involve personal injury, workers’ compensation claims, or where the sole cause of action involves the trade contractor’s exercise of its rights for direct payment under M.G.L. c.30, §39F. Joint ventures must provide information regarding lawsuits for each joint venture partner as set forth in *Section VI(C)(1)(e)* of *Part One* of the *RFQ* for this Project.

PROJECT NAME & LOCATION	PROJECT OWNER	DESCRIPTION OF LEGAL PROCEEDING (include caption of case, parties, location of proceeding, description of the dispute and status and/or outcome)



University of Massachusetts Building Authority  
UMass Medical School – The New Education and Research Building (NERB)  
RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

**Firm Name:** \_\_\_\_\_

**SCHEDULE F – SAFETY RECORD:** Interested Trade Contractors are **required** to provide the three (3) three year history of its workers' compensation experience modifier and **attach documentation from its insurance carrier** supporting the ratings reported herein as set forth in *Section VI(C)(1)(f) of Part One* of the *RFQ* for this Project.

YEAR	WORKERS' COMP. EXPERIENCE MODIFIER	COMMENTS
2018		
2019		
2020		



University of Massachusetts Building Authority  
 UMass Medical School – The New Education and Research Building (NERB)  
 RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

**Firm Name:** \_\_\_\_\_

**SCHEDULE G - PROJECT REFERENCES:** Interested Trade Contractors are **required** to list references for prior work respondent Trade Contractor has performed which appears in **Schedule C** as set forth in **Section VI(C)(2)(a)** of **Part One** of the **RFQ** for this Project.

<b>PROJECT TITLE:</b>					
	<b>COMPANY NAME</b>	<b>CONTACT PERSON/ADRESSSS</b>	<b>TELEPHONE</b>	<b>FAX</b>	<b>EMAIL</b>
Owner:					
Designer:					
<b>PROJECT TITLE:</b>					
	<b>COMPANY NAME</b>	<b>CONTACT PERSON/ADRESSSS</b>	<b>TELEPHONE</b>	<b>FAX</b>	<b>EMAIL</b>
Owner:					
Designer:					
<b>PROJECT TITLE:</b>					
	<b>COMPANY NAME</b>	<b>CONTACT PERSON/ADRESSSS</b>	<b>TELEPHONE</b>	<b>FAX</b>	<b>EMAIL</b>
Owner:					
Designer:					

**Firm Name:** \_\_\_\_\_



University of Massachusetts Building Authority  
UMass Medical School – The New Education and Research Building (NERB)  
RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

**SCHEDULE G - PROJECT REFERENCES (Continued):**

<b>PROJECT TITLE:</b>					
	<b>COMPANY NAME</b>	<b>CONTACT PERSON/ADRESSSS</b>	<b>TELEPHONE</b>	<b>FAX</b>	<b>EMAIL</b>
Owner:					
Designer:					
<b>PROJECT TITLE:</b>					
	<b>COMPANY NAME</b>	<b>CONTACT PERSON/ADRESSSS</b>	<b>TELEPHONE</b>	<b>FAX</b>	<b>EMAIL</b>
Owner:					
Designer:					



**Firm Name:** \_\_\_\_\_

**SCHEDULE H - CREDIT REFERENCES:** Interested Trade Contractors are **required** to list a minimum of five (5) credit references from banks, suppliers and/or vendors as set forth in *Section VI(C)(2)(b) of Part One* of the RFQ for this Project.

CHECK ONE	COMPANY NAME	CONTACT PERSON	TELE#	FAX#
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				



University of Massachusetts Building Authority  
 UMass Medical School – The New Education and Research Building (NERB)  
 RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

**Firm Name:** \_\_\_\_\_

**SCHEDULE I - PUBLIC PROJECT RECORD:** – Interested Trade Contractors are **required** to list all completed public buildings during the past five (5) years in accordance with *Section VI(C)(2)(c) of Part One* of the RFQ for this Project. (Respondent Trade Contractor may attach additional pages if necessary).

PROJECT INFORMATION	CONTACT INFORMATION Provide business and contact name, address, telephone and fax
PROJECT NAME:  CONTRACT VALUE:  SCOPE:  START DATE: FINISH DATE:	AWARDING AUTHORITY:   DESIGNER:
PROJECT NAME:  CONTRACT VALUE:  SCOPE:  START DATE: FINISH DATE:	AWARDING AUTHORITY:   DESIGNER:
PROJECT NAME:  CONTRACT VALUE:  SCOPE:  START DATE: FINISH DATE:	AWARDING AUTHORITY:   DESIGNER:





University of Massachusetts Building Authority  
UMass Medical School – The New Education and Research Building (NERB)  
RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

**Firm Name:** \_\_\_\_\_

**SCHEDULE J – PRIOR REVENUE:** – Interested Trade Contractors are **required** to list prior revenue for the last three (3) fiscal years in accordance with *Section VI(C)(3)(a) of Part One* of the *RFQ* for this Project.

Firm’s fiscal year runs \_\_\_\_\_ to \_\_\_\_\_.

CALENDAR YEAR	FISCAL YEAR	PRIOR ANNUAL REVENUE (\$)
2019		
2020		
2021		



University of Massachusetts Building Authority  
UMass Medical School – The New Education and Research Building (NERB)  
RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

**Firm Name:** \_\_\_\_\_

**SCHEDULE K – REVENUE UNDER CONTRACT:** – Interested Trade Contractors are **required** to list revenue under contract for next three (3) fiscal years in accordance with Section VI(C)(3)(b) of *Part One*, the *RFQ* for this Project.

Firm’s fiscal year runs \_\_\_\_\_ to \_\_\_\_\_.

CALENDAR YEAR	FISCAL YEAR	REVENUE UNDER CONTRACT (\$)
2022		
2023		
2024		



University of Massachusetts Building Authority  
UMass Medical School – The New Education and Research Building (NERB)  
RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

**Firm Name:** \_\_\_\_\_

**SCHEDULE L – LETTER EVIDENCING BONDING CAPACITY:**

**Respondent Trade Contractors must attach here a letter from a surety company** (or from an agent meeting the criteria set forth above) evidencing that the surety will provide respondent with payment and performance bonds for the Project in an amount equal to or greater than **One Hundred and Ten Percent (110%)** of the estimated trade contract value of the Project. The surety company must meet the requirements set forth above. For Trade Contractors submitting SOQs for more than one Trade, a letter evidencing bonding capacity for each trade must be submitted.



University of Massachusetts Building Authority  
UMass Medical School – The New Education and Research Building (NERB)  
RFQ for Trade Contractor Services for Elevators and Early Waterproofing Scope

**Firm Name:** \_\_\_\_\_

**SCHEDULE M – SUB-BIDDER CERTIFICATE OF ELIGIBILITY AND SUB-BIDDER UPDATE STATEMENT:**

**Respondent Trade Contractors must attach here TWO different documents:**

- 1) a copy of its current **DCAMM *Sub-Bidder Certificate of Eligibility*** meeting the requirements set forth above in this RFQ;  
**and**
- 2) a completed and signed **DCAMM *Sub-bidder Update Statement*** utilizing the most current form available on DCAMM's website. [http://www.mass.gov/cam/forms/fi\\_contractcert.html](http://www.mass.gov/cam/forms/fi_contractcert.html)

Note: Trade Contractors submitting an SOQ for more than one Trade must submit a DCAMM Sub-bidder Certificate of Eligibility and Sub-bidder Update Statement for each Trade.